

May 27, 2022

Listing Department,

National Stock Exchange of India Limited
Exchange Plaza, Plot C-1, Block G,
Bandra Kurla Complex,
Bandra (E),
MUMBAI - 400 051
Symbol: MAXHEALTH

Listing Department, **BSE Limited**25<sup>th</sup> Floor,

Phiroze Jeejeebhoy Towers,

Dalal Street,

MUMBAI - 400 001 **Scrip Code: 543220** 

## <u>Sub: Annual Secretarial Compliance Report for the financial year ended March 31, 2022</u>

Dear Sir/Ma'am,

Pursuant to the Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 8, 2019, we are enclosing herewith the Annual Secretarial Compliance Report for the financial year 2021-22 issued by Sanjay Grover & Associates, Practicing Company Secretaries.

This is for your kind information and dissemination.

Thanking you

For Max Healthcare Institute Limited

Ruchi Mahajan

**SVP – Company Secretary & Compliance Officer** 

## SANJAY GROVER & ASSOCIATES

## COMPANY SECRETARIES

B-88, 1<sup>ST</sup> Floor, Defence Colony, New Delhi - 110 024
Tel.: (011) 4679 0000, Fax: (011) 4679 0012
e-mail: contact@cssanjaygrover.in
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## Annual Secretarial Compliance Report of Max Healthcare Institute Limited for the financial year ended 31st March, 2022

[Under Regulation 24A of the Securities and Exchange Board of India (Listing Obligations and Disclosures Requirements) Regulations, 2015]

- I, Devesh Kumar Vasisht, Partner of M/s Sanjay Grover & Associates have examined:
- (a) all the documents and records made available to me and explanation provided by Max Healthcare Institute Limited ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the financial year ended 31st March, 2022 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations (as amended from time to time), whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (LODR Regulations);
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 (Not applicable during the review period);
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 (Not applicable during the review period);
- e) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021;
- f) · Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021 {Not applicable during the review period};
- g) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015:

and based on the above examination, I hereby report that, during the Review Period:



a) The listed entity has complied with the provisions of the above \*Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No	Compliance R (Regulations/ circulars / including specific clause)	guidelines	Observations/remarks of the Practicing Company Secretary
	5	None	, company contains

\*We report that the Company appointed woman independent director on May 24, 2021 under Regulation 17(1)(a) of the LODR Regulations, based on the market capitalization as on March 31, 2021.

- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from my examination of those records.
- c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken e.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
			None	

d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr.	Observations	Observations	Actions taken by the	Comments of the
No.	of the	made in the	listed entity, if any	Practicing Company
	Practicing	secretarial	9	Secretary on the
9.4	Company	compliance		actions taken by the
	Secretary in	report for the		listed entity
	the previous	year ended 31st		
	reports	March, 2021.		
1	N.A.	As per Regulation	At least two-third of the	The listed entity has
		18(1) of the LODR	members of audit	re-constituted the
		Regulations, two -	committee were not	Audit and Risk
		thirds of the	independent directors	Committee on
		members of audit	as the fraction is	February 11, 2021
		committee shall be	required to be rounded	and thereafter, the
		independent	off to the higher number	composition of said
	ii e	directors.	while determining the	committee is as per
			compliance under	Regulation 18(1)(b) of
Re	8		Regulation 18(1) of	LODR Regulations.
6.00	3		LODR Regulations.	-

I, further, report that there was no event of appointment/ re-appointment/ resignation of statutory auditor of the listed entity during the review period and the Company was in compliance with Para 6(A) and 6(B) of Circular No. CIR/CFD/CMD1/114/2019 dated October 18, 2019.



Place: New Delhi Date: May 25, 2022 For Sanjay Grover & Associates

Company Secretaries

Firm Registration No.: P2001DE052900

Devesh Kumar Vasisht

Partner

CP No.: 13700 / Mem. No. F8488

UDIN: F008488D000384831